

DOCTOR OF PHILOSOPHY

1. A candidate for the degree of Doctor of Philosophy must, at the time of application, hold Master's Degree of the University, deemed University or of any other University incorporated by the Law for the time being in force and recognised by the University.

Provided that no one shall be eligible to supplicate for the Ph.D. degree in the University unless he/she has secured at least a second division or an equivalent grade at the Master's degree examination or holds an M.Phil degree in the subject of his research.

3. A candidate for the Ph.D. Degree must apply for registration of his subject on the prescribed form stating :

- (i) His qualification and experience;
- (ii) The subject on which he proposes to work;
- (iii) The field or topic of study;
- (iv) The name of supervisor under whom and the place at which he wishes to carry on his investigations together with the supervisor's consent.

Provided that a teacher of the University, having at least ten year's experience in the subject out of which at least five year's were for teaching post-graduate classes and has, in the opinion of the Research Degree Committee substantial independent published research work to his credit may pursue his research independently, but he will indicate the place at which he wishes to carry on his investigations.

The applications shall/ accompanied by :-

- (a) A fee of Rs. 50/- for registration. If the application is rejected, this fee shall not be refunded.

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(b) A certificate from the Head of the University Teaching Department or the Principal of the College affiliated to the University or the Head of the Research Institute, recognised for the purpose by the University testifying that adequate facilities exist and stating that that the Head of the University Teaching Department or the Principal or the Head of Research Institute will, in case the candidate is permitted allow the candidate to work in his department or College or Institution under the supervision of the person mentioned in the application.

(c) A statement of objectives of the proposed research and method to be followed (Five copies).

(d) A brief statement of the literature studied and the exploration made in the area of his research (Five copies).

(e) A statement of the work that he may have done on the subject, along with copies of papers that he may have published (Five copies).

(f) Attested copies of the mark-sheet/grade sheet and degree of Master's Degree examination.

3. In case of registration for the Ph.D. Degree, the candidate will have to enrol himself and will have to pay the following fees to the University Teaching Department or the College affiliated to the University where he is pursuing his research from the date of his registration till the date of submission of his thesis.

i)	Tuition Fees	Rs. 15/-	per month.
ii)	Library Fee	Rs. 2/-	" "
iii)	" Caution Money		Rs. 20/-	
iv)	Identity Card fee.		Rs. 1/-	
v)	Laboratory Fee for Research.		Rs. 10/-	per month
	Scholars wherever Laboratory work is involved.			

Provided that teachers of the University will not be required to pay fees.

4. A candidate shall ordinarily be permitted to work for Ph.D. Degree in the subject in which he has obtained his Master's Degree.

Provided that research work leading to Ph.D. Degree may be allowed in allied subjects in the same or other faculty if the research degree Committee concerned is satisfied that the candidate possesses the requisite qualifications to take up the proposed work. In such cases, the candidate may also be permitted to work under two supervisors.

5. A candidate shall pursue his research at one of the Teaching Department of University or one of Post-Graduate College affiliated to the University in the subject concerned and recognised for the purpose by the University.

Provided that the research degree committee may permit a candidate to work in a research institute or nay established research Department or Laboratory under the supervision of the Scientist/Director/Professor of the Institute for special reasons to be recorded by the Research Degree Committee.

6. The persons recommended for appointment as supervisor to guide research scholar excepting those who have been permitted to work independently under provisions of para 2(iv) of this Ordinance must be:-

(i) a Professor/Reader in a teaching Department of the University or a College affiliated to the University and who either possesses a degree of the Doctorate standard or published work of high standard of research.

OR

(ii) a teacher in a Teaching Department of the University or a college affiliated to the University who has

obtained a Doctor's Degree in the subject and has taught the post Graduate classes in the subject concerned for at least 5 years;

OR

- (iii) a teacher in the teaching department of the University or a College affiliated to the University who has obtained Doctor's Degree in the subject and possesses at least three years independent Doctoral published research work to his credit;

OR

- (iv) a teacher of the University possessing at least ten years experience of teaching the subject to post-graduate classes, who has published research papers in the reputed journals and successfully guided research;

OR

- (v) a person in possession of D.Litt or D.Sc. Degree in the subject concerned,

Provided that such teachers as have been guiding research work under the repealed ordinance shall continue to be recognised as supervisors in respect of the research work being carried under them.

Provided also that a person who is himself registered for Ph.D. Degree of the University shall not be eligible to be appointed as "Supervisor" or a member of the Research Degree Committee.

NOTE
(A) The Research Degree Committee in the subject shall draw up a list of qualified supervisors in accordance with the above clause.

(B) A candidate whose application is received for registration for a research degree shall be registered from the date of his application or the date of commencement of his work as certified by the Head of the Department, or the supervisor whichever is later if the Research Degree Committee approves the subject with or without modification.

7. (i) All applications for registration shall be submitted either in the first term of the academic year of the University so as to reach the Registrar of the University by the 1st October of the year, or in the third term of the academic year so as to reach him by first February of the year.

(ii) The applications shall be placed before Research Degree Committee in each subject which shall consist of the Kulpati, the Dean of the Faculty concerned, the Chairman of the Board of Studies concerned, Head of the University Teaching Department and at least one external expert to be nominated by the Kulpati in consultation with the Chairman of the Board of Studies.

Provided that when the Kulpati is unable to be present the Dean shall preside at the meeting of the Research Degree Committee and its proceedings shall be submitted to the Kulpati for approval.

The term of the external expert shall be two year but he shall be eligible for re-nomination.

(iii) The Research Degree Committee will ordinarily meet twice in the academic year in which the applications to be dealt with by the Committee are received.

(iv) The Committee shall satisfy itself that the subject offered is one which can profitably be pursued under guidance of the proposed supervisor or that it can be pursued independently, that the candidate possesses the requisite qualifications and that adequate facilities and equipments for work exist at the college or institution concerned. The Research Degree Committee may require a candidate to appear for an interview before it. If the Committee is satisfied, it may grant permission to the candidate to pursue the proposed research and report its decision to the Academic Council.

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8.(a) If permission is granted, the candidate shall pursue his research at an Institution within the territorial jurisdiction of the University under the Supervisor or independently and on the approved subject for not less than twenty four months commencing from the date of his registration.

OR

(b) If he is permitted to work under a supervisor at an Institution outside the territorial jurisdiction of the University, he shall put in at least 200 days attendance in the Institution concerned or with the Supervisor.

^{further}
Provided/that a teacher in an affiliated College/ University Teaching Department or a candidate holding an M.Phil degree shall be required to put in at least 100 days attendance with the supervisor and in case he is working independently then 120 days attendance at the Institution concerned.

^{also}
Provided/that the Research Degree Committee on sufficient grounds may recommend for the curtailment of aforesaid period by not more than 25 per cent.

(c) The candidate may not later than eight months from the date on which permission was granted to him, modify the scheme of his research work or nature or scope of his subject, on the recommendation of the supervisor and the Chairman of the Board of Studies, concerned with the approval of the Kulpati.

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provided that the Research Degree Committee may permit a candidate to change his supervisor if he is unable to continue as supervisor for ^{one} reason or the other. In such case minor amendments to the topic of research and synopsis may be permitted as per requirements of the new supervisor.

(d) The University shall obtain every six months a record of attendance and a progress report of the work of the Research Scholar from his supervisor concerned and if the progress of work is found unsatisfactory in two successive reports or no report is received for a period of one year, the Kulpati may order the removal of the name of the Scholar from the list of those registers for the Ph.D. Degree.

provided that a Research scholar permitted to work independently will send his progress report every six months through the Head of the Institute where he is pursuing his research.

(e) A candidate shall be permitted to submit his thesis not earlier than twenty four months from the date of his registration.

provided that a teacher of the University may submit his thesis not earlier than 12 months from the date of registration if permitted by the Research Degree Committee.

provided also that a candidate must hold the appropriate post-Graduate degree with at least three years standing at the time of submission of his thesis.

(f) In case a candidate does not submit his thesis within four calendar years from the date of of permission, the permission

and the name of the candidate shall be removed from the list of those registered for Ph.D. Degree. The period thus extended shall not exceed one calendar year.

9. A panel of at least eight names for the appointment of examiners of the thesis shall be prepared by the external expert on the Research Degree Committee after a report has been received from the supervisor or the Research Scholar if he is working independently to the effect that the thesis is on the point of completion. Such a report should be sent to the University not earlier than two months before the completion of the thesis.

10. After the thesis is complete, the candidate shall supply three printed or type-written, but not published copies of his thesis. Published matter may also be incorporated as part of the thesis.

11. The thesis shall comply with the following conditions:-

(i) It must be a piece of research work characterised either by the discovery of facts or by a fresh approach towards the interpretation of facts. In either case it should convince the candidate's judgement. The candidate shall communicate how far the thesis embodies the result of his own observations and in what respect his investigation appears to advance knowledge in the subject.

(ii) It shall be satisfactory in point of language and presentation of subject matter.

(iii) The thesis shall be accompanied by a declaration from the candidate that the thesis embodies his own work and a certificate from the Supervisor stating (a) that the thesis embodies the work of the candidate himself (b) that the candidate worked under him for the period required under para 8 and (c) that in the opinion of the

Supervisor the thesis fulfills the requirements of the Ordinance relating to the Ph.D. Degree of the University.

Provided that such a certificate will be submitted by the candidate himself he was permitted to work independently.

The candidate shall also remit with the thesis, a sum of Rs. Two hundred and fifty only.

12. On receipt of the thesis, along with the certificates and the fee mentioned above, the thesis shall be sent to two examiners appointed for the purpose by the Kulpati in consultation with the Committee constituted under Section 44.(1) of the M.P. Vishwavidyalaya Adhiniyam which shall recommend to the Kulpati a panel of not less than six persons after taking into consideration the recommendation of the external expert on the Research Degree Committee.

Provided that where a panel of names is not received from the external expert within ninety days from the date on which he was requested to submit the panel, the Kulpati may call upon the aforesaid Committee to draw up its panel without the recommendation of the external expert.

12. (a) In case both the examiners disapprove the thesis or one of them disapproves the thesis and the other recommends a revision it shall be rejected.

(b) If one of the examiners approves the thesis, and the other recommends revision or if both the examiners recommend that the candidate be required to improve his thesis, the candidate shall be called upon to resubmit the thesis after revision in the light of the examiner's observations.

(c) If one examiner approves the thesis and the other disapproves it, the thesis shall be sent for evaluation to the third examiner together with the report of the two

submitted by the Committee referred to in para 12 above.
The opinion of the third examiner shall be final.

(d) In case both the original examiners approve the thesis or, in the event of divergence of opinion between the two, the third examiner approves the thesis, the candidate be called upon to appear for a viva-voce test before a Board of two examiners comprising the supervisor or one of the Supervisors appointed by the Kulapati and one of the persons (selected by the Kulapati) who approved the thesis. If both the Viva Voce examiners are satisfied, the case shall be reported to the Executive Council for the award of the Degree.

Provided that the Kulapati may, looking to the special circumstances, of the case, appoint an alternative Viva-Voce examiner, if both the examiners are not in a position to conduct the Viva Voce Examination.

(e) In case the recommendation of the Viva-Voce examiners differs from that of the thesis examiners or there is difference of opinion between the Viva-Voce examiners, candidate may be asked to re-appear at a second Viva-Voce Examination within six months. If the candidate fails to satisfy the Viva Voce examiners a second time, his thesis will be finally rejected.

14. If the examiners recommend that the candidate be asked to improve his thesis, the Executive Council shall permit the candidate to resubmit his thesis not earlier than six months and not later than eighteen months, the period being counted from the date of the resolution of the Executive Council granting the permission. In case a candidate is allowed to resubmit his/her thesis he/she

for him /her to reproduce any certificate of further attendance at the institution at which he/she carried on his/her work.

The re-submitted thesis shall be examined as far as possible by the old set of examiners.

15. (i) The examiners may seek clarification on the subject matter of the thesis from the Supervisor. The provision will be incorporated in the letter to be sent to the examiners while sending the thesis.

Provided that in case of candidates working independently, such clarification will be sought from the candidate through the Registrar's office.

(ii) The Inlupati shall be competent to recall the thesis from an examiner who fails to send the report within six months of the date of the despatch, of the thesis and appoint another examiner.

16. The examiner shall categorically recommend in the prescribed proforma acceptance or rejection or revision of the thesis and also give their detailed comments. The examiners shall state if the thesis complies with the following conditions in case they recommend the award of the degree,

- (a) It is a piece of research work characterised either by the discovery of facts or by a fresh approach towards the interpretation of facts.
- (b) The thesis evinces the candidate's capacity for critical examination and sound judgment.
- (c) It is satisfactory in point of language and presentation of such matter.

17. The maximum number of research candidates that can be registered and allowed to prosecute research work under a supervisor at any particular time shall be six only.

Provided that the Kulapati may permit not more than two research candidates working under a scheme fellowship awarded by an agency like the U.G.C., CSSR, CSIR, to be registered under a supervisor who has already got six research students working under him.

18. No research scholar shall, join any other course of study or appear at any other examination conducted by any University.

Provided that the Kulapati, may, on the recommendation of the Supervisor, permit a research scholar to join a language Diploma Course and appear in an examination thereof.

19. The thesis shall be published only with the permission of the University and such publication shall state on the title page itself that it was a thesis approved for the award of the Ph.D. degree of the University.

20. The reports of the examiners shall not be revealed to examinee but such portions of the examiners' report may, on application, be supplied to the examinees as will enable him to improve his thesis.

Rule No. 6 of Ph.D. Ordinance No. 14

The persons recommended for appointment as supervisor to guide research scholar excepting those who have been permitted to work independently under provisions of para 2(iv) of this ordinance must be :-

(i) a professor/reader in a teaching department of the University or a college affiliated to the University and who either possesses a degree of the Doctorate standard or published work of high standard of research.

OR

(ii) a teacher in a teaching department of the University or a college affiliated to the university who has obtained a Doctor's degree in the subject and has taught the post-graduate classes in the subject concerned for at least 6 years.

OR

(iii) a teacher in the teaching department of the university or a college affiliated to the University who has obtained Doctor's degree in the subject and possesses at least three years independent post doctoral published research work to his credit.

OR

(iv) a teacher of the university possessing at least ten years experience of teaching the subject to post-graduate classes who has published research papers in the reputed journals and successfully guided research.

(v) a person in possession of D.Litt. or D.Sc. Degree in the subject.

Provided that such teachers as have been guiding research work under the repealed ordinance shall continue to be recognised as supervisors in respect of the research work being carried under them.

Provided also that a person who is himself registered for Ph.D. degree of the university shall not be eligible to be appointed as 'Supervisor' or a member of the Research Degree Committee.

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